



Recall Crisis Recovery

Food & Beverage Contamination
Application for Insurance

PROFESSIONAL LIABILITY INSURANCE
SERVICES, INC. - UNDERWRITING FACILITIES,
800-761-7547, 512-328-0677 . FAX: 512-327-5834
<http://www.plisinc.com> . underwriting@plisinc.com



This is not a full application and should be used for non-binding indication. In order to obtain binding terms a satisfactory full application form must be completed.

1 a. Applicant Name (including any subsidiaries if applicable) _____
Applicant Full Address (including City, State, Zip) _____

b. Established date of Applicant (include details if under previous ownership/control) _____

2. Please provide an overview of your business including the flow of the operations from start to finish:

3. Total Applicant Sales ('000's). Please attach your latest Financial Statement and Annual Report. Gross Margin _____
Next 12 Mos (projected) _____ Current 12 Mos _____ Previous 12 Mos _____

4. Countries where products are sold: Sales ('000's) %
United Kingdom _____ % Europe _____ %
USA / Canada _____ % Rest of World _____ %

5. Describe sales in terms of **Branded** (product manufactured in Applicant's name), **Own Brand** (product manufactured for others with their name, including major retailers "own" labels) and **Non-Branded** (products with no name).

Type	% of Sales	Number of products in this category
Branded		
Own Branded		
Non-Branded		

6. Please list your top three customers by percentage of sales:
Customer _____ **% of Sales** _____

7. What is the annual distribution cost to applicant? _____

8. Please complete the following listing all plants/facilities/locations to be covered by the Policy, and advise what you use for a "unit". (Please attach a separate sheet if necessary).

Plant/Facility Location	Annual Sales ('000's)	Products	Production Lines	Daily Output		Hours of Production per week
				Units	Value	

9. a. Please list all product categories to be covered by the Policy.

Product Category	Average		Largest Batch Size		Daily Output	
	Units	Sales Value	Units	Sales Value	No. Batches	Sales Value

b. What percentage of your products becomes a component of third party products? _____

c. Please state the shelf life of products: Average: _____ Longest: _____

10. a. How many storage facilities do you utilize? _____ How many are separate from production sites? _____

b. What is the maximum value of unsold product stored at any location that you utilize, at any one time? _____

11. Do you use HACCP or equivalent or have a similar process control in place and fully functional? Yes c No c

12. a. Do you have a testing program at critical control points on the following?

Incoming material including packaging and labels? Yes c No c

Manufacturing / processing? Yes c No c

End product including packaging and labels? Yes c No c

b. Are results reviewed before or after product shipment? Before c After c

13. a. Is there a centralized corporation system for handling customers' product complaints? Yes c No c

b. Has the Applicant or its products ever been the target of politically, racially or environmentally activated single interest groups (i.e. ethnic or religious minorities, or animal rights groups?) Yes c No c

If yes, please specify: _____

14. Have you been sued by, or are you currently being sued by, any employees in the last 3 years? Yes c No c

15. a. In the last 10 years have you had a market withdrawal, stock recovery, recalled any products or been responsible for the costs incurred by any third party arising from the withdrawal, stock recovery or recall of any products (regardless of any subrogation)? Yes c No c

If yes, please complete a *Recall Crisis Recovery Claims Supplement Form*, as attached.

d. Does the Applicant, or any of its management or supervisory personnel have any knowledge of any current situation, fact or circumstance which might lead to a contamination of any of your products that could result in a recall of those products? Yes c No c